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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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|--|---|-----------------|--|--|--|
| Attorney Docket No. | | EER.P0014 | | | |
| First Inventor | | Donald McCollor | | | |
| Title | METHOD AND APPARATUS FOR CAPTURING GAS PHASE POLLUTANTS SUCH AS SULFUR TRIOXIDE | | | | |
| | | | | | |

| THE SUCH AS SULFOR TRIOXIDE | | | | | | |
|--|---|--|--|--|--|--|
| | Express Mail Label No. EO 901 598 267 US | | | | | |
| APPLICATION ELEMENTS | Assistant Commissioner for Patents | | | | | |
| See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Box Patent Application Washington, DC 20231 | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | |
| 2. Applicant claims small entity status. See 37 CFR 1.27. | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | |
| 3. Specification [Total Pages 28] (w/ cover) | a. Computer Readable Form (CRF) | | | | | |
| (preferred arrangement set forth below) - Descriptive title of the invention | b. Specification Sequence Listing on: | | | | | |
| - Cross Reference to Related Applications | i. ☐ CD-ROM or CD-R (2 copies); or | | | | | |
| - Statement Regarding Fed sponsored R & D | ii. 🗆 paper | | | | | |
| - Reference to sequence listing, a table, | c. Statements verifying identity of above copies | | | | | |
| or a computer program listing appendix | ACCOMPANYING APPLICATION PARTS | | | | | |
| Background of the Invention Brief Summary of the Invention | <u> </u> | | | | | |
| - Brief Description of the Drawings (if filed) | 9. Assignment Papers (cover sheet & document(s)) | | | | | |
| - Detailed Description - Claim(s) - Abstract of the Disclosure | 10. 37 CFR 3.73(b) Statement Power of Attorney | | | | | |
| 4. 🗹 Drawing(s) (35 U.S.C. 113) [Total Sheets <u>6</u>] | 11. English Translation Document (if applicable) | | | | | |
| 5. Oath or Declaration [Total Pages $\underline{0}$] | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | | |
| a. Newly executed (original or copy) | 13. Preliminary Amendment | | | | | |
| b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed) | 14. Metum Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | |
| i DELETION OF INVENTOR(S) | 15. Certified Copy of Priority Document(s) | | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 15. (if foreign priority is claimed) Other: | | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | 16. 🗆 | | | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite | e information below and in a preliminary amendment. | | | | | |
| or in an Application Data Sheet under 37 CFR 1.76: | This application claims priority to provisional application: | | | | | |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) | of prior application No.:60/416,841 | | | | | |
| Prior application information: Examiner | Group / Art Unit: | | | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | |
| 18. CORRESPONDE | | | | | | |
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| Country Teleph | one 512-301-9900 Fax 512-301-9915 | | | | | |
| Name (Print/Type) Bruce A. Johnson) | Registration No. (Attorney/Agent) 37361 | | | | | |
| Signature | Date 9/19/03 | | | | | |

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10/000320

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

\$1,440.00

TOTAL AMOUNT OF PAYMENT

| Complete if Known | | | | | |
|----------------------|-----------------|---|--|--|--|
| Application Number | TBD | | | | |
| Filing Date | 9/19/2003 | _ | | | |
| First Named Inventor | Donald McCollor | _ | | | |
| Examiner Name | | _ | | | |
| Group Art Unit | | _ | | | |
| Attorney Docket No. | EER.P0014 | | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|-----------------------|----------------------|-----------------------|---|-------------|
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. ADDITIONAL FEES | | | | | |
| Deposit Account Number | Large Fee Code | Entity Fee (\$) | Smali Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| Deposit Account Name | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$0 |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$0 |
| Applicant claims small entity status. | | 130 | 139 | 130 | Non-English specification | \$0 |
| ☐ Payment Enclosed: | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | \$0 |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other | | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | \$0 |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | \$0 |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | \$0 |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 116 | 410 | 216 | 205 | Extension for reply within second month | \$0 |
| 101 750 201 375 Utility filing fee \$750 | 117 | 890 | 217 | 446 | Extension for reply within third month | \$0 |
| 106 330 206 165 Desian filina fee | 118 | 1.390 | 218 | 695 | Extension for reply within fourth month | \$0 |
| 107 490 207 245 Plant filing fee | 128 | 1.890 | 228 | 945 | Extension for reply within fifth month | \$0 |
| 108 750 208 375 Reissue filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | \$0 |
| 114 160 214 80 Provisional filing fee | 120 121 | 310 270 | 220 221 | 155 135 | Filing a brief in support of an appeal Request for oral hearing | \$0 \$0 |
| SUBTOTAL (1) \$ 750.00 | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$0 |
| ΦΕΙΟΙΑΣ(Ι) Ψ750.00 | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | \$0 |
| 2. EXTRA CLAIM FEES | 141 | 1,240 | 240 | 620 | Petition to revive – unintentional | \$0 |
| Fee from | | | | | rendrito revive - drinteridoriar | |
| Extra Claims below Fee Paid | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | \$0 |
| Total Clams 49 -20**= 29 X \$18 = \$522.00 | 143 | 440 | 243 | 220 | Design issue fee | \$0 |
| Independent 5 0tt 0 V 004 | 144 | 600 | 244 | 300 | Plant issue fee | \$0 |
| Claims 5 -3**= 2 X \$84 = \$168.00 | 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$0 |
| Multiple Dependent | 123 126 | 50 240 | 123 126 | 50 240 | Petitions related to provisional applications Submission of Information Disclosure Stmt | \$0 \$0 |
| Large Entity Small Entity | | | | | Recording each patent assignment per | |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | property (times number of properties) | \$0 |
| 103 18 203 9 Claims in excess of 20 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | \$0 |
| 102 84 202 42 Independent claims in excess of 3 | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(h)) | \$0 |
| 104 270 204 135 Multiple dependent claim, if not paid | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | \$0 |
| 109 80 209 40 *** Reissue independent claims over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | \$0 |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | Other fee | (specify) _ | | | | \$0 |
| SUBTOTAL,(2) \$690.00 | * Reduce | d by Basic | Filing Fee | Paid | SUBTOTAL (3) | \$0.00 |

| SUBMITTED BY | | | Complete (if applicable) | | |
|-------------------|------------------|-----------------------|--------------------------|--------------|--|
| Name (Print/Type) | Bruce A. Johnson | Registration No 37361 | Telephone | 512-301-9900 | |
| Signature | | | Date | 9/19/03 | |

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